

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

: Affinito et al.

Serial No.

: 10/603,874 : June 25, 2003

Filed Title

: METHOD OF MAKING MOLECULARLY DOPED COMPOSITE

**POLYMER MATERIAL** 

Docket

: BAT 0019 VA/40078.228/B-1446 DIV

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 September 11, 2003.

Patricia L. Prior - Attorney

Reg. No. 33,758

### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §§ 1.56, 1.97, AND 1.98</u>

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

Serial No. 10/603,874 Docket BAT 0019 VA/40078.228/B-1446-DIV

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application.

The above application is a division of U.S. Application Serial No. 09/835,505 filed April 16, 2001 now U.S. Patent No. 6,613,395 issued September 2, 2003. Pursuant to \$1.98(d), copies of those items cited by or submitted to the Office in the prior application are not being submitted, but are listed on PTO/SB/08a, a substitute for form 1449A/PTO.

Respectfully submitted,

**DINSMORE & SHOHL LLP** 

Patricia L. Prior

Registration No. 33,758

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PLP/ems Encl

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Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### Complete if Known Substitute for form 1449A/PTO **Application Number** 10/603,874 Filing Date INFORMATION DISCLOSURE June 25, 2003 First Named Inventor STATEMENT BY APPLICANT John D. Affinito Art Unit **Examiner Name** (Use as many sheets as necessary) Attorney Docket Number BAT 0019 VA/40078.228 Sheet

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			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 3,475,307 A	10/28/1969	Knox et al.	
		<sup>US-</sup> 3,607,305 A	09/21/1971	Lindlof	
		<sup>US-</sup> 4,098,965 A	07/04/1978	Kinsman	
		<sup>US-</sup> 4,283,482 A	08/11/1981	Hattori et al.	
		<sup>US-</sup> 4,581,337 A	04/08/1986	Frey et al.	
		<sup>US-</sup> 4,624,867 A	11/25/1986	Iijima et al.	
		<sup>US-</sup> 4,695,618 A	09/22/1987	Mowrer	
		<sup>US-</sup> 4,842,893 A	06/27/1989	Yializis et al.	
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		<sup>US-</sup> 5,032,461 A	07/16/1991	Shaw et al.	
		<sup>US-</sup> 5,204,314 A	04/20/1993	Kirlin et al.	
		<sup>US-</sup> 5,237,439 A	08/17/1993	Misono et al.	
		<sup>US-</sup> 5,260,095 A	11/09/1993	Affinito	
		<sup>US-</sup> 5,354,497 A	10/11/1994	Fukuchi et al.	
		<sup>US-</sup> 5,395,644 A	03/07/1995	Affinito	
		<sup>US-</sup> 5,427,638 A	06/27/1995	Goetz et al.	
		<sup>US-</sup> 5,440,446 A	08/08/1995	Shaw et al.	
		<sup>US-</sup> 5,536,323 A	07/16/1996	Kirlin et al.	

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	т6		
ninais	740.	Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> ( <i>if known</i> )		Applicant of Cited Document	or Relevant Figures Appear	Ľ		
		BE 704 297	02/01/1968	Continental Oil				
		DE 19603746	04/24/1997	Bosch GmbH Robert				
		EP 0 299 753	01/18/1989	The BOC Group, Inc.	;			
		EP 0 340 935	11/08/1989	Spectrum Control, Inc.				
_		EP 0 390 540	10/03/1990	Sharp Kabushiki Kaisha				

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STATEMENT BY APPLICANT

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June 25, 2

First Named Inventor

John D. Affinito

Art Unit

Examiner Name

(Use as many sheets as necessary)

Sheet 2 of 7 Attorney Docket Number BAT 0019 VA/40078.228

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		<sup>US-</sup> 5,547,508 A	08/20/1996	Affinito	
		<sup>US-</sup> 5,554,220 A	09/10/1996	Forrest et al.	
		<sup>US-</sup> 5,576,101 A	11/19/1996	Saitoh et al.	
		<sup>US-</sup> 5,607,789 A	03/04/1997	Treger et al.	
		<sup>US-</sup> 5,620,524 A	04/15/1997	Fan et al.	
		<sup>US-</sup> 5,629,389 A	05/13/1997	Roitman et al.	
		<sup>US-</sup> 5,652,192 A	07/29/1997	Matson et al.	
		<sup>US-</sup> 5,654,084 A	08/05/1997	Egert	
		<sup>US-</sup> 5,665,280 A	09/09/1997	Tropsha	
		<sup>US-</sup> 5,681,615 A	10/28/1997	Affinito et al.	
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		<sup>US-</sup> 5,693,956 A	12/02/1997	Shi et al.	
		<sup>US-</sup> 5,711,816 A	01/27/1998	Kirlin et al.	
		<sup>US-</sup> 5,725,909 A	03/10/1998	Shaw et al.	
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		<sup>US-</sup> 5,747,182 A	05/05/1998	Friend et al.	

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		EP 0 722 787	07/24/1996	Catalina Coatings, Inc.		Г		
		EP 0 787 826	08/06/1997	Becton Dickinson				
	Ī	EP 0 916 394	05/19/1999	Sharp Kabushiki Kaisha	}=====================================			
	Ţ	EP 0 931 850	07/28/1999	Leybold Systems GmbH	<b>3030000941950333494575643444157694344445</b>			
	Ţ	EP 0 977 469	02/02/2000	Hewlett-Packard	Dept.   Dept	Γ		

Examiner		Date	
Signature	·	Considered	·
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 10/603,874

Filing Date June 25, 2003

First Named Inventor John D. Affinito

Art Unit

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Sheet 3 of 7 Attorney Docket Number BAT 0019 VA/40078.228

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		US- 5,757,126 A	05/26/1998	Harvey, III et al.	
		US- 5,759,329 A	06/02/1998	Krause et al.	
		US- 5,792,550A	08/11/1998	Phillips et al.	
		<sup>US-</sup> 5,811,177 A	09/22/1998	Shi et al.	
		<sup>US-</sup> 5,811,183 A	09/22/1998	Shaw et al.	
ough each ser very hanging my a morning come bu		<sup>US-</sup> 5,821,692 A	10/13/1998	Rogers et al.	
		<sup>US-</sup> 5,844,363 A	12/01/1998	Gu et al.	
	1	<sup>US-</sup> 5,872,355 A	02/16/1999	Hueschen	
		<sup>US-</sup> 5,902,641A	05/11/1999	Affinito et al.	
		<sup>US-</sup> 5,902,688 A	05/11/1999	Antoniadis et al.	
		<sup>US-</sup> 5,904,958 A	05/18/1999	Dick et al.	
		<sup>US-</sup> 5,912,069 A	06/15/1999	Yializis et al.	
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_	FOREIGN PATENT DOCUMENTS						
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		JP 63136316	06/08/1988	Hitachi Ltd.			
***************************************	************	JP 64-18441	01/23/1989	Yoshida et al.			
***************************************	***********	JP 2-183230	07/17/1990	Akagi et al.			
***************************************	***********	JP 08325713	12/10/1996	Matsushita Electric			
*******************	<b>†</b>	JP 09059763	03/04/1997	Matsushita Electric			

Examiner	Date	
Signature	Considered	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Art Unit Examiner Name

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Sheet 4 of 7 Attorney Docket Number BAT 0019 VA/40078.228

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		<sup>US-</sup> 5,968,620 A	10/19/1999	Harvey et al.	
		<sup>US-</sup> 5,996,498 A	12/07/1999	Lewis	
		<sup>US-</sup> 6,013,337 A	01/11/2000	Knors	
		<sup>US-</sup> 6,045,864 A	04/04/2000	Lyons et al.	
		<sup>US-</sup> 6,083,628 A	07/24/2000	Yializis	
		<sup>US-</sup> 6,092,269 A	07/25/2000	Yializis et al.	
		<sup>US-</sup> 6,106,627 A	08/22/2000	Yializis	
		<sup>US-</sup> 6,146,225 A	11/14/2000	Sheats et al.	
		<sup>US-</sup> 6,165,566 A	12/26/2000	Tropsha	
		<sup>US-</sup> 6,207,239 B1	03/27/2001	Affinito	
		<sup>US-</sup> 6,214,422 B1	04/10/2001	Yializis	
		<sup>US-</sup> 6,231,939 B1	05/15/2001	Shaw et al.	
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	FOREIGN PATENT DOCUMENTS							
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		WO 87 07848	12/30/1987	Spectrum Control, Inc.	<u> </u>			
		WO 95 10117	04/13/1995	Catalina Coatings, Inc.	***************************************			
		WO 97 04885	02/13/1997	Battelle Memorial				
		WO 97/22631	06/26/1997	Talison Research				
		WO 98 10116	03/12/1998	Talison Research				

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		WO 98 18852	05/07/1998	Catalina Coatings, Inc.	3-12434684393K05+043448484347E936943C+HJ344349EF66847	L			
***************************************		WO 99 16557	04/08/1999	Battelle Memorial					
******************	***************************************	WO 99 16931	04/08/1999	Battelle Memorial					
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Sheet	6	of	7	Attorney Docket Number	BAT 0019 VA/40078.228

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bomagazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cit			
		AFFINITO, J.D. ET AL., "High Rate Vacuum Deposition of Polymer Electrolytes," Journal Vacuum Science Technology A 14(3), May/Jun. 1996			
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June 25, 2

John D. Affinito

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	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
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